

IDENTIFICATION FORM – FOREIGN COMPANIES

Please ensure all applicable fields are completed in full

For companies incorporated in Australia, use the AUSTRALIAN COMPANIES IDENTIFICATION FORM.

Foreign Companies, whether registered with ASIC or not, should use this form.

Section 1: Foreign Company Identification Procedure

1.1 General Information

Full name of foreign company (as registered by ASIC, if applicable)

Date of Incorporation

 / /

Country of formation / incorporation / registration

Select if registered by a foreign body and provide name of body

For companies incorporated in Australia, do not use this form, instead use the AUSTRALIAN COMPANIES IDENTIFICATION FORM.

1.2 Is the foreign company registered with ASIC? (select ONE of the following)

Yes Provide ARBN

Provide EITHER principal place of business address in Australia OR local agent name and address details (Tick one box)

Address (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

Name of local agent in Australia (if applicable)

No Provide company identification number (if any) issued by the foreign registration body

Principal place of business in the company's country of formation or incorporation (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

1.3 Registered Address of Company

Provide the registered address as registered with ASIC. If the company is NOT registered with ASIC, provide the registered address in the country of formation, incorporation or registration (if any).

Street

Suburb

State

Postcode

Country

1.4 Regulatory/Listing Detail (If the company is regulated or listed, select the relevant category and provide the information requested)

Regulated company A company whose activities are subject to the oversight of a statutory regulator.

In this context 'Regulated' means subject to supervision beyond that provided by ASIC as a company registration body.

Examples of regulated companies in Australia include Australian Financial Services Licensees (AFSL); Australian Credit Licensees (ACL) or Registrable Superannuation Entity (RSE) Licensees.

Name of regulator providing licence (e.g. ASIC)

Licence type (e.g. AFSL, ACL, RSE)

Licence number

Listed on a recognised market/exchange

Name of market / exchange

Majority-owned subsidiary of an Australian listed company

Australian listed company name

Name of market / exchange

1.5 Company Type (select only ONE of the following categories and provide any information requested)

Private/Proprietary (Go to Section 1.6 below)

Public (Go to Section 1.8 below)

Other (Please Specify)

1.6 Directors (To be completed for Proprietary and Other companies, not required for Public companies as per section 1.5)

How many directors are there? (Provide full name of each director below)

Full given name(s)

Surname

1

2

3

4

If there are additional directors, please complete all details in section 1.6 in an additional form.

1.7 Beneficial Ownership (not required for Public companies as per section 1.5)

Provide details of **ALL individuals** who are beneficial owners through shareholding of 10% or more and/or effective control of the customer entity.

Full given name(s)

Surname

Role/Relationship
with the customer

% Holding/Control
(if applicable)

1

2

3

4

5

If there are additional beneficial owners (shareholders and/or controllers), please complete all details in section 1.7 in an additional form.

It is noted that a full KYC form (Individuals) must be completed for all the persons listed in this section.

1.8 Foreign Entity Ownership *(To be completed for all companies, if any foreign entity ownership exists)*

Provide details of ALL immediate entities and any other entities in the customer's ownership chain that hold through one or more shareholdings 10% or more of the company's issued capital and are located in a foreign jurisdiction. Please note the section is not applicable if the customer does not have any foreign jurisdiction entities in its ownership chain or if the customer's entity ownership chain are Australian only.

	Full name of foreign entity ownership company	% Holding	Country of formation / incorporation / registration
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are additional Foreign Entity Ownerships, please complete all details in section 1.8 in an additional form.

Bank Officer/Authorised Representative: Record of Identification and Verification Procedure

By completing and signing this Record of Identification and Verification Procedure I declare that I have verified the identity of the Customer and Beneficial Owners (as applicable) as required by AML/CTF requirements. I confirm that I have sighted the originals and/or original certified copies of the identification documents detailed above submitted to Citigroup Pty Ltd (Citibank) and have not made any unauthorised alterations.

Name of Bank Officer/Authorised Representative

Signature of Bank Officer/Authorised Representative

Date Verification Completed

Bank Use: Foreign Company Verification Procedure

Identification documentation is to be provided to verify the information listed in one of the verification procedures described below (either the standard verification procedure for companies registered with ASIC, the standard verification procedure for companies not registered with ASIC or the alternate verification procedure for regulated or listed companies as described in section 1.4 of this form).

Standard verification procedure for Foreign Companies registered with ASIC

Information to be verified (all):

- The full name of the company as registered by ASIC
- The ARBN issued to the company
- Whether it is registered as a proprietary, other or public company

Tick ✓	Verification options <i>(select one of the following options used to verify the Company)</i>
<input type="checkbox"/>	Perform a search of the relevant ASIC database.
<input type="checkbox"/>	Perform a search of the relevant foreign registration body.
<input type="checkbox"/>	If the ASIC or foreign registration body database is not reasonably available, an original or original certified copy of the certification of registration issued by ASIC or by the relevant foreign registration body.

Standard verification procedure for Foreign Companies NOT registered with ASIC

Information to be verified (all):

- The full name of the company
- Whether it is registered by a foreign registration body and if so, whether it is registered as a proprietary, other or public company
- The identification number issued to the company

Alternate verification procedure for a regulated company, an Australian listed company or a majority owned subsidiary of an Australian listed Company (as described in section 1.4 of this form)

Information to be verified (all):

- The full name of the company
- That the parent company is listed in Australia (if applicable)
- That the company is a regulated company, a listed company or a majority owned subsidiary of an Australia listed company (i.e. > 50% owned) *(whichever is applicable)*

Tick ✓	Verification options (select one of the following options used to verify the Company)
<input type="checkbox"/>	Perform a search of the relevant financial market.
<input type="checkbox"/>	Perform a search of the relevant ASIC database.
<input type="checkbox"/>	Perform a search of the licence or other records of the relevant Commonwealth, State or Territory statutory regulator.
<input type="checkbox"/>	A public document issued by the company.*

*Documents that are written in a language other than English must be accompanied by an English translation prepared by an accredited translator.